

Financial Services Guide

This Financial Service Guide (“FSG”) is an important document. It is designed to assist you in deciding whether to use any of the financial services and products offered by Peters MacGregor Capital Management Limited (“Peters MacGregor”) ABN 77 087 181 600. Peters MacGregor as an Australian Financial Services Licensee (AFSL 225984), we are required to provide you with this FSG.

This FSG provides you with important information about:

- The types of services and products we can offer you;
- How we (and other relevant persons) are remunerated for these products and services;
- Our internal and external complaints handling procedures and how you can utilise them; and
- How you can contact us.

This FSG is designed to help you understand our services and products before you use them or invest in them. You should read this carefully and consult with your licensed financial adviser if required.

Information about us

Peters MacGregor Capital Management Limited (Peters MacGregor) is an Australian based investment manager formed in 1999. We do not act as a representative for any other licensee in relation to the services we provide to you.

Our financial services and financial products

Peters MacGregor principally acts as the responsible entity of the Peters MacGregor Global Fund ARSN 110 619 559 (“Fund”). Under our AFSL we are also authorised to provide other financial services.

We are authorised to provide general financial product advice for the following classes of financial products:

- Basic deposit products;
- Foreign exchange contracts;
- Interests in managed investment schemes excluding investor directed portfolio services; and
- Securities.

We are also authorised to deal in:

- Basic deposit products;
- Foreign exchange contracts;
- Securities; and
- Miscellaneous financial investment products limited to MDA services.

We are authorised to provide financial services to retail and wholesale clients.

Product Disclosure Statement (PDS)

Before you can invest in one of our financial products we are required to provide you with a PDS. The PDS contains important information regarding the features, benefits, risks and fees applicable to an investment in that financial product, and should be read carefully to enable you to make an informed decision about whether to invest in the financial product.

Statement of Advice (SOA)

A SOA will normally be given when you are provided with any personal advice, which takes into account your personal objectives, financial situation and needs. Peters MacGregor does not provide personal advice and therefore, we will not provide you with a SOA, but an intermediary (such as your financial planner) may provide you with a SOA.

Record keeping

We will seek to ensure that comprehensive and accurate records of all transactions and investments undertaken, and documentation executed, are properly maintained.

Remuneration or other benefits received by us

If you invest in a financial product we provide, we will receive remuneration in relation to your investment in the financial product. As responsible entity of the Fund, we are entitled to be paid a management fee from the Fund's assets. We may also be entitled to recover expenses incurred on behalf of the Fund. As at the date of this FSG, Peters MacGregor expects to receive management costs (includes management fees and expenses) of 1.35% pa (including the net effect of GST) of the net asset value of the Fund. Management costs may change from time to time. Please refer to the Fund's PDS for more information about management costs.

We do not intend to charge investors for the provision of general financial product advice.

Subject to any limitations at law, financial advisers and intermediaries may receive fees and typically, this will be disclosed in their SOA. These fees will be paid by Peters MacGregor from its own resources and not from the Fund's assets.

Disclosure of relevant conflicts of interest

We do not have any relationships or associations, which might influence us in providing you with our financial products and services.

Privacy

Your right to privacy is important to us. A statement explaining your privacy rights and our rights and obligations in relation to your personal information is available on our website <https://petersmacgregor.com>.

Complaints handling

We have established procedures for dealing with complaints. In the first instance, you can make a complaint by calling Peters MacGregor on +61 2 9332 2133 or by writing to the:

Complaints Officer, Peters MacGregor Capital Management Limited, Suite 1801A, 101 Grafton Street, Sydney NSW 2022.

We will acknowledge your complaint within 14 days of receipt and will generally investigate complaints and aim to provide a response (if not resolve the complaint) within 45 days of receipt of the complaint.

If you are ultimately dissatisfied with the outcome of your complaint you may refer the matter, free of charge, to the Financial Ombudsman Service (FOS) which is an external dispute resolution scheme.

The contact details for the Financial Ombudsman Service are:

Phone: 1300 780 808

Fax: +61 3 9613 6399

Email: info@fos.org.au

www.fos.org.au

Post: GPO Box 3, Melbourne VIC 3001

Professional Indemnity Insurance

In accordance with ASIC's policy, Peters MacGregor maintains professional indemnity insurance to cover claims made by investors. This insurance covers the conduct of employees and certain independent contractors of Peters MacGregor, involved in the provision of financial services, during and after their engagement.

Contact us

Peters MacGregor Capital Management Limited
Suite 1801A, Westfield Tower 2, 101 Grafton Street
Sydney NSW 2022
Australia

E-mail: service@petersmacgregor.com

Telephone: +61 2 9332 2133

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