

# Regular Investment Plan Form

Complete this Form to set-up (or amend) your Regular Investment Plan to the Peters MacGregor Global Fund ("Fund") from your participating financial institution account.

Prior to making a decision to invest, you should consider the Funds' Product Disclosure Statement ("PDS") and the specific characteristics and risks of the Fund in which you are applying to invest.

If you are a new investor to the Peters MacGregor Global Fund and you wish to participate in the Regular Investment Plan please forward this completed authority along with your completed Application Form and the required supporting documents.

## INVESTOR CONTACT DETAILS

Given Name(s)

Surname

Daytime Phone Number

## Existing Investors ONLY

Client Portfolio Number

Investor/Client Portfolio Name

## SECTION 1 – REASON FOR COMPLETING THIS FORM

I wish to:

- |   |  |
|---|--|
| <input type="checkbox"/> Establish a Regular Investment Plan                | ... Complete all sections of this Form       |
| <input type="checkbox"/> Change my existing Regular Investment Plan         | ... Tick to indicate changes                 |
| <input type="checkbox"/> The amount of my direct debit / regular investment | ... Tick and Complete <b>Section 2 AND 3</b> |
| <input type="checkbox"/> My financial institution account details           | ... Tick and Complete <b>Section 2 AND 3</b> |
| <input type="checkbox"/> Cancel my Regular Investment Plan                  | ... Tick and Complete <b>Section 3</b>       |

## SECTION 2 – DIRECT DEBIT AUTHORITY

I / We authorise Mainstream Fund Services Pty Ltd until further notice in writing to arrange for funds to be debited from my / our bank account on the 19th of each month, at the financial institution identified as described in the following schedule, any amounts which Mainstream Fund Services Pty Ltd may debit or charge me/us through the Direct Debit System.

Monthly debits will be made on the 19th of each month or the next business day should the 19th fall on a non-business day. Units will be applied upon the clearing of the direct debits (three business days from the date of debit).

Name of Financial Institution

Account Name

BSB Number

Account Number

Regular Investment Plan Start Date (DD/MM/YYYY)

# Peters MacGregor Global Fund

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Amount to be debited (**Note:** *The minimum investment amount for a Regular Investment Plan is \$200 per month*)

\$A    ,    .

Date (DD/MM/YYYY)   /   /

## SECTION 3 – DECLARATION & SIGNATURE

If you are establishing a Regular Investment Plan, or changing an existing Plan:

- I / We, being the investor(s) of the Investment Account stated on the Regular Investment Plan ('Form'), declare that:
- The details provided by me/us on this Form are true and correct;
- I / We have read the Regular Investment Plan Direct Debit Request Service Agreement and agree to be bound by the terms and conditions described;
- I / We have the legal capacity and power to make the investments in the Fund requested under this Regular Investment Plan;
- I / We request that this arrangement remain in force in accordance with the details set out on this Form and in compliance with the Regular Investment Plan Direct Debit Request Service Agreement.

If you are cancelling your Regular Investment Plan, please sign below and ensure that you have ticked the correct instruction box in **Section 1**.

Please sign this form below. This form must be signed as per the current signing authority we have on record.

Signature of Investor or Company Officer

Signature of Investor or Company Officer

Full Name

Full Name

Title

Title

Date (DD/MM/YYYY)

  /   /   

Date (DD/MM/YYYY)

  /   /   

**Please mail the completed form to Mainstream Fund Services Pty Ltd**

Mainstream Fund Services Pty Ltd  
GPO Box 4968  
Sydney NSW 2001

For assistance with completing the Regular Investment Plan Form, please call our fund administrator, Mainstream Fund Services on **1300 133 451** or **+61 2 8259 8888** (for overseas callers).

## Regular Investment Plan Direct Debit Request Service Agreement

The following is your Regular Investment Plan Direct Debit Service Agreement with Mainstream Fund Services Pty Ltd ABN 81 118 902 891 (Mainstream), who acts as the Fund Administrator of the Peters MacGregor Global Fund. The agreement is designed to explain what your obligations are when undertaking a Direct Debit arrangement with Mainstream. It also details what Mainstream's obligations are to you as your Direct Debit Provider. We recommend you keep this agreement in a safe place for future reference. It forms part of the terms and conditions of your Regular Investment Plan Direct Debit Request Authority above.

### Definitions

**Account** means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

**Agreement** means this Direct Debit Request Service Agreement between you and us.

**Banking day** means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.

**Debit day** means the day that payment by you to us is due.

**Debit payment** means a particular transaction where a debit is made.

**Direct debit t** means the Direct Debit Request between you and us

**Us** or **We** means Mainstream, (the Debit User) you have authorised by signing a Direct Debit Request.

**You** means the customer who has signed or authorised by other means the Direct Debit Request.

**Your financial institution** means the financial institution nominated by you on the Direct Debit Request at which the account is maintained.

### 1. Debiting your Account

- 1.1 By signing a Direct Debit Request or by providing us with a valid instruction, you have authorised us to arrange for funds to be debited from your account. You should refer to the Direct Debit Request and this agreement for the terms of the arrangement between us and you.
- 1.2 We will only arrange for funds to be debited from your account as authorised in the Direct Debit Request; or We will only arrange for funds to be debited from your account if we have sent to the address nominated by you in the Direct Debit Request, a billing advice which specifies the amount payable by you to us and when it is due.
- 1.3 If the debit day falls on a day that is not a banking day, we may direct your financial institution to debit your account on the following banking day. If you are unsure about which day your account has or will be debited you should ask your financial institution.

### 2. Amendments by us

- 2.1 We may vary any details of this agreement or a Direct Debit Request at any time by giving you at least fourteen (14) days written notice.

### 3. Amendments by you

- 3.1 You may change, stop or defer a debit payment, or terminate this agreement by providing us with at least fourteen (14) days notification by writing to: Unit Registry Mainstream Fund Services Pty Ltd GPO BOX 4968 Sydney NSW 2001 or by telephoning us on 1300 133 451 during business hours; or arranging it through your own financial institution.

### 4. Your Obligations

- 4.1 It is your responsibility to ensure that there are sufficient clear funds available in your account to allow a

debit payment to be made in accordance with the Direct Debit Request.

- 4.2 If there are insufficient clear funds in your account to meet a debit payment:
- (a) you may be charged a fee and/or interest by your financial institution;
  - (b) you may also incur fees or charges imposed or incurred by us; and
  - (c) you must arrange for the debit payment to be made by another method or arrange for sufficient clear funds to be in your account by an agreed time so that we can process the debit payment.
- 4.3 You should check your account statement to verify that the amounts debited from your account are correct.
- 4.4 If we are liable to pay goods and services tax ("GST") on a supply made in connection with this agreement, then you agree to pay us on demand an amount equal to the consideration payable for the supply multiplied by the prevailing GST rate.

### 5. Dispute

- 5.1 If you believe that there has been an error in debiting your account, you should notify us directly on 1300 133 451 and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly. Alternatively you can take it up with your financial institution directly.
- 5.2 If we conclude as a result of our investigations that your account has been incorrectly debited we will respond to your query by arranging for your financial institution to adjust your account (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.
- 5.3 If we conclude as a result of our investigations that your account has not been incorrectly debited we will respond to your query by providing you with reasons and any evidence for this finding in writing.

### 6. Accounts

You should check:

- (a) with your financial institution whether direct debiting is available from your account as direct debiting is not available on all accounts offered by financial institutions; and
- (b) your account details which you have provided to us are correct by checking them against a recent account statement; and (c) with your financial institution before completing the Direct Debit Request if you have any queries about how to complete the Direct Debit Request.

### 7. Confidentiality

- 7.1 We will keep any information (including your account details) in your Direct Debit Request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.
- 7.2 We will only disclose information that we have about you:
- (a) to the extent specifically required by law; or
  - (b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

### 8. Notice

- 8.1 If you wish to notify us in writing about anything relating to this agreement, you should write to:

**Mainstream Fund Services Pty Ltd**  
**GPO Box 4968**  
**Sydney NSW 2001**

- 8.2 We will notify you by sending a notice in the ordinary post to the address you have given us in the Savings Plan Direct Debit Request.
- 8.3 Any notice will be deemed to have been received on the third banking day after posting.